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Practice Restricted to Intellectual Property Law

December 18, 2001

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Your Reference: 09/933,131  
Our Reference: 10723-26

The Commissioner of Patents  
& Trademarks  
Washington, D.C. 20231  
U.S.A.

**Attention: Box Missing Parts**

Dear Sir:

**Re: Notice To File Missing Parts Of Nonprovisional Application  
United States Patent Application No. 09/933,131  
Entitled: Method of Modulating Tumor Immunity  
Inventors: Li Zhang and Kevin Young  
Filing Date: August 21, 2001  
Art Unit: 1646**

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In accordance with the provisions of 37 C.F.R. §1.63, Applicants hereby submit an executed Declaration for Patent Application of inventors Li Zhang and Kevin Young along with the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted, mailed October 4, 2001. Applicants are simultaneously filing a petition for a one month extension of time, rendering the due date for reply January 4, 2002.

The government fee of \$435.00 is including in our cheque No. 4048, in respect of the filing fee of \$370.00 (small entity), surcharge of \$65.00 (small entity). Please charge any deficiency or credit any overpayment to our deposit No. 02-2095.

If any additional fee is due, including a fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

**LI ZHANG and KEVIN YOUNG**

A handwritten signature in cursive script, appearing to read "M. Gravelle", is written over a horizontal line.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,131	08/21/2001	Li Zhang	10723-26

CONFIRMATION NO. 4949

## FORMALITIES LETTER



\*OC000000006844357\*

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Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Tef

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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